First Named Inventor:

Chang

Serial No.:

0,0/003,714

Filed: FEB 1 1 2002

October 29, 2001

Subject

System and Method For Establishing Viewer Shopping Preferences Based On Viewing And Listening Preferences

DOCKET NO.:

50R4802

ASSISTANT COMMISSIONER FOR PATENTS

ATTN: BOX MISSING PARTS WASHINGTON, D.C. 20231

TRANSMITTAL LETTER - RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION;

Dear Sir:

U

Applicant herein submits 2 sheets of substitute formal drawings to replace the drawings originally filed. The enclosed substitute drawings add no new matter. Figure 2 of the original drawings inadvertently omitted the network connection version referred to in line 19 of paragraph 20, page 9 in the specification.

In response to the Notice to File Missing Parts of Nonprovisional Application dated December 21, 2001 transmitted herewith are the following:

- 1. Copy of the Notice to File Missing Parts of Nonprovisional Application;
- 2. A declaration and power of attorney executed by inventors (4 pgs.);
- 3. An assignment (4 pgs.), along with a Recordation Form Cover Sheet;
- 4. Two checks for \$1038 for the filing fees and missing parts surcharge, and \$40 for the recordation of assignment;
- 5. Acknowledgment postcard.

Respectfully submitted,

John L. Rogitz, Atty. of Record, Reg. No. 33,549 750 "B" Street, Suite 3120, San Diego, CA 92101

Telephone: (619) 338-8075

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, Express Mailing label No. <u>EV078102489</u> US under 37 CFR §1.10, addressed to Box MISSING PARTS, Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on FEBRUARY 11, 2002.

Date Signed: FEBRUARY 11, 2002

JOHN L. ROGITZ, Attorney of Record

Registration No. 33,549



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/003.714

10/29/2001

Matthew Chang

50R4802

CONFIRMATION NO. 2626

FORMALITIES LETTER

Rogitz & Associates 750 B Street, Suite 3120 San Diego, CA 92101

Date Mailed: 12/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$168.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1038.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/21/2002 BABRAHA1 00000015 10003714

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160.00 GP